

**NOTE: This PDF is not intended to be used for filing purposes. The document is merely provided as a courtesy for the purpose of previewing the electronic form. If you wish to prepare a paper filing, please contact the Trademark Assistance Center at 1.800.786.9199 to obtain a hard copy of the trademark application.**



**Navigation History:** Instruction > Applicant > Mark > Goods/Services/Filing Basis > Attorney/Dom. Rep./Correspondence > Fee/Signature

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PTO Form 1478 (Rev 09/2006)

OMB No. 0651-0009 (Exp. 12/31/2014)

## Trademark/Service Mark Application, Principal Register

### TEAS Plus Application (Version 5.3)

**NOTE:** For an instructional video providing an overview of the most important issues you should be aware of when filing a trademark application, [click here](#).

#### To file the application electronically, please complete the following steps:

1. Answer the first question below to create an application form showing only sections relevant to your specific filing.
2. For help at any point, click on any underlined word on any page.
3. After answering the first wizard question, click on the CONTINUE button at bottom of the page.
4. Once in the actual form, complete all fields with a \* symbol, since they are mandatory fields for TEAS filing purposes.
5. Validate the form, using the "Validate" button at the end of the form. If there are errors, return to the form to make the correction. A "Warning" may be corrected or by-passed.
6. Double-check all entries through the links displayed on the Validation page.
7. You may save your work for submission at a later time by clicking on the Download Portable Data button at the bottom of the Validation page.
8. When ready to file, use the Pay/Submit button at the bottom of the Validation page. This will allow you to choose from three (3) different payment methods: credit card, automated deposit account, or electronic funds transfer.
9. After accessing the proper screen for payment, and making the appropriate entries, you will receive a confirmation screen if your transmission is successful. This screen will say SUCCESS! and will provide your assigned serial number.
10. You will receive an e-mail acknowledgement of your submission, which will repeat the assigned serial number and provide a summary of your submission.

Once you submit this application, we will not cancel the filing or refund your fee. The fee is a processing fee, which we do not refund even if we cannot issue a registration after our substantive review.

**Important:** ONCE YOU SUBMIT AN APPLICATION ELECTRONICALLY, THE USPTO WILL IMMEDIATELY ISSUE AN ELECTRONIC ACKNOWLEDGMENT OF RECEIPT. Please contact [TEAS@uspto.gov](mailto:TEAS@uspto.gov) if you do not receive this acknowledgment within 24 hours of transmission.

#### Contact Points:

- **General trademark information:** Please review the information posted at [Where Do I Start](#). If you have remaining questions, e-mail [TrademarkAssistanceCenter@uspto.gov](mailto:TrademarkAssistanceCenter@uspto.gov), or telephone 1-800-786-9199.
- **Help:** For instructions on how to *use* the electronic forms, or help in resolving *technical* glitches, please e-

mail [TEAS@uspto.gov](mailto:TEAS@uspto.gov). Please include your telephone number in your e-mail, so we can talk to you directly, if necessary. Also, include the relevant serial number or registration number, if existing.

**NOTE:** The TEAS Support Team focuses on problems related to the process of completing the electronic forms, **not** on what information would be correct for entry within a form, or other broader trademark issues. Please route those types of inquiries to the Trademark Assistance Center. However, please be aware that neither group can provide any sort of information in the nature of "legal advice." For legal advice, please consider contacting an attorney who specializes in intellectual property.

- **Bug Report:** If you think there is a "bug" within one of the electronic forms, please click [Bug Report](#).
- **Status Information:** For an application with an assigned serial number, check [Trademark Status & Document Retrieval](#) to view current status information, as well as the complete prosecution history. Do not attempt to check status until at least 7-10 days after submission of a filing, to allow sufficient time for all USPTO databases to be updated. You can view all items listed in the prosecution history section online at [Trademark Status & Document Retrieval](#), including all office actions sent by the USPTO.

**WARNING:** This form has a session time limit of 60 minutes. Your "session" began as soon as you accessed this initial Form Wizard page. If you exceed the 60-minute time limit, the form will not validate and you must begin the entire process again; you can, however, [extend the time limit](#). You should always try to have all information required to complete the form prior to starting any session.

## 1. Is an [attorney](#) filing this application?

Yes  No

2. [OPTIONAL] To access **previously-saved data**, use the "Browse/Choose File" button below to access the file from your local drive. NOTE: For specific instructions, please click [here](#). **FAILURE TO FOLLOW THESE NEW INSTRUCTIONS WILL RESULT IN THE DISPLAY OF YOUR DATA IN AN XML FORMAT THAT CANNOT BE EDITED.** NOTE: Do NOT attempt to use the button below to upload an image file (for example, a specimen). You must use the button that will be presented for that purpose *within the proper section of the actual form*.

Continue

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**NOTE:** This identifies who owns the mark, not necessarily who is filing the application. For an instructional video focusing on what is meant by the term "applicant," [click here](#).

#### Applicant Information

**Note:** This identifies who **owns** the mark, **not** necessarily who is **filing** the application.

**Note:** If there is more than one owner of the mark, complete the information for the first owner, and then click on the "Add Owner" button at the bottom of this page. Repeat, as necessary, for the appropriate listing of all owners. **Warning:** It is important to determine whether, in fact, the applicants are [joint applicants](#), or some other entity type listed below.

<p><b>* Owner of Mark</b></p> <p><input type="checkbox"/> DBA (doing business as) <input type="checkbox"/> AKA (also known as)  <input type="checkbox"/> TA (trading as) <input type="checkbox"/> Formerly</p>	<div style="border: 1px solid black; height: 34px;"></div> <p style="color: red; margin-top: 2px;">[If an individual, use the following format: Last Name, First Name Middle Initial or Name, if applicable]</p>
<p><b>* Entity Type</b></p> <p><input type="radio"/> <a href="#">Individual</a>  <input type="radio"/> <a href="#">Corporation</a>  <input type="radio"/> <a href="#">Limited Liability Company</a>  <input type="radio"/> <a href="#">Partnership</a>  <input type="radio"/> <a href="#">Limited Partnership</a>  <input type="radio"/> <a href="#">Joint Venture</a>  <input type="radio"/> <a href="#">Sole Proprietorship</a>  <input type="radio"/> <a href="#">Trust</a>  <input type="radio"/> <a href="#">Estate</a>  <input type="radio"/> <a href="#">Other</a></p>	<div style="border: 1px solid black; height: 46px;"></div> <p style="color: red; margin-top: 2px;">&lt;=&gt; Click the appropriate circle on the left to indicate the applicant's entity type. The form will then display the field(s) for entering information corresponding to that specific entity type. If your entity type is not one of the options displayed directly to the left, you must click on "Other" and then select the appropriate entry from the relevant pull-down box.</p>
<p><b>Internal Address</b></p> <p><b>* Street Address</b></p>	<div style="border: 1px solid black; height: 25px;"></div> <div style="border: 1px solid black; height: 25px;"></div> <p><b>NOTE:</b> You must limit your entry here, and for all remaining fields within this overall section (except City, see below), to no more than 40 characters (the storage limit for the USPTO database). You may need to abbreviate some words, e.g., St. instead of Street. Failure to do so may result in an undeliverable address, due to truncation at the 40 character limit.</p>
<p><b>* City</b></p> <p><b>* State</b> (Required for U.S. applicants)</p>	<div style="border: 1px solid black; height: 25px;"></div> <p><b>NOTE:</b> You must limit your entry here to no more than 22 characters.</p> <div style="border: 1px solid black; height: 15px; background-color: #e0f2f1; margin-top: 2px;">Select State</div> <p><b>NOTE:</b> You must include as part of the "city" entry any information related to geographical regions (e.g., provinces) not found in the dropdown lists for "States" or "Countries." Enter the city and then the geographical region, separated by a comma (e.g., Toronto, Ontario). In most instances, you will then also have to select the country within which the region is found, below.</p>
<p><b>* Country or U.S. Territory</b></p>	<div style="border: 1px solid black; height: 15px; background-color: #e0f2f1; margin-top: 2px;">Select Country or U.S. Territory</div>

**\* Zip/Postal Code**

(Required for U.S. applicants only)

**Phone Number****Fax Number****Internet****E-mail Address**

While the application may list an e-mail address for the applicant, only the e-mail address of applicant's attorney or domestic representative will be used for actual correspondence purpose, in accordance with [Office policy](#).

**Website address**[Go Back](#)[Add Owner](#)[Continue](#)[Burden/Privacy Statement](#) | [TEAS Form Burden Statement](#)

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## Trademark/Service Mark Application, Principal Register

### TEAS Plus Application (Version 5.3)

#### Mark Information

Before the USPTO can register your mark, exactly what the mark is must be clear. You may present your [mark](#) as: (1) [standard characters](#), if not claiming a particular font, style, size, and/or color; or (2) [special form](#), if the mark includes a design or word(s) combined with a design, or is displayed in a particular font, style, size, and/or color; or (3) sound mark. In this section, do **not** upload your specimen of use (sample of actual use, e.g., a label or advertisement). This will be required in a different part of the form, if appropriate for your filing basis.) **WARNING:** You may submit only **one** mark per application, and any application that includes multiple marks may be denied a filing date or refused registration.

When you click on one of the three circles presented below, and follow the specific instructions, the form will automatically create a separate page that displays the mark for which you are applying or provides access to the applicant-supplied file if a sound mark. Please carefully review this page prior to final submission to the USPTO, to ensure that it accurately identifies your mark. You may not be able to [change or correct your mark](#) after filing this application. While minor changes in the mark are *sometimes* permitted, any [material alteration](#) will not be permitted and will result in the USPTO issuing a refusal on that ground.

**WARNING:** AFTER [SEARCHING](#) THE USPTO DATABASE, EVEN IF YOU THINK THE RESULTS ARE "O.K." DO NOT ASSUME THAT YOUR MARK CAN BE REGISTERED. AFTER YOU FILE AN APPLICATION, THE USPTO WILL PERFORM ITS OWN SEARCH AND OTHER REVIEW, AND MIGHT [REFUSE TO REGISTER](#) YOUR MARK.

**NOTE:** For an instructional video on the importance of conducting a search of existing trademarks before filing your application, [click here](#).

\* Click the appropriate circle to indicate the Mark     [Standard Characters](#)  [Special Form \(Stylized and/or Design\)](#)  [Sound mark](#)

**NOTE:** For an instructional video on the importance of selecting the proper mark type, [click here](#).

Enter the mark here: (**Note:** The entry can be in capital letters, lower case letters, or a combination thereof. Do **not** include the ™, ®, or © symbols after the mark entry, because they are **not** part of the actual mark. If using Internet Explorer, the entry cannot exceed 2036 characters; otherwise, you must switch to another browser.)

Preview USPTO-Generated Image

**NOTE:** For how the USPTO determines what the display of the entered mark will be, click [here](#).

**NOTE:** For information about mark display in USPTO databases, click [here](#)

The "Additional Statement" section of this form is to enter various statement(s) that may pertain to the mark, for example, a disclaimer or translation. Because you are filing under **TEAS Plus**, you must enter the following, **if applicable within the facts of your application:** (1) claim of prior registration(s); (2) translation; (3) transliteration; (4) consent of individual identified in mark; and (5) concurrent use claim. You are not required to enter any other statement(s) at the time of filing; however, you may be required to add a statement(s) to the record during examination of the application. If you are unsure whether you should make such a statement, besides those specifically identified above, the examining attorney assigned to your application will issue a requirement, if appropriate.

Check here to display the full listing of additional statements from which you may make your selection.

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## Trademark/Service Mark Application, Principal Register

### TEAS Plus Application (Version 5.3)

#### Mark Information

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When you click on one of the three circles presented below, and follow the specific instructions, the form will automatically create a separate page that displays the mark for which you are applying or provides access to the applicant-supplied file if a sound mark. Please carefully review this page prior to final submission to the USPTO, to ensure that it accurately identifies your mark. You may not be able to [change or correct your mark](#) after filing this application. While minor changes in the mark are *sometimes* permitted, any [material alteration](#) will not be permitted and will result in the USPTO issuing a refusal on that ground.

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**NOTE:** For an instructional video on the importance of conducting a search of existing trademarks before filing your application, [click here](#).

\* Click the appropriate circle to indicate the Mark  [Standard Characters](#)  [Special Form \(Stylized and/or Design\)](#)  [Sound mark](#)

**NOTE:** For an instructional video on the importance of selecting the proper mark type, [click here](#).

Enter the mark here: (**Note:** The entry can be in capital letters, lower case letters, or a combination thereof. Do **not** include the ™, ®, or © symbols after the mark entry, because they are **not** part of the actual mark. If using Internet Explorer, the entry cannot exceed 2036 characters; otherwise, you must switch to another browser.)

Preview USPTO-Generated Image

**NOTE:** For how the USPTO determines what the display of the entered mark will be, click [here](#).

**NOTE:** For information about mark display in USPTO databases, click [here](#)

The "Additional Statement" section of this form is to enter various statement(s) that may pertain to the mark, for example, a disclaimer or translation. Because you are filing under **TEAS Plus**, you must enter the following, **if applicable within the facts of your application:** (1) claim of prior registration(s); (2) translation; (3) transliteration; (4) consent of individual identified in mark; and (5) concurrent use claim. You are not required to enter any other statement(s) at the time of filing; however, you may be required to add a statement(s) to the record during examination of the application. If you are unsure whether you should make such a statement, besides those specifically identified above, the examining attorney assigned to your application will issue a requirement, if appropriate.

Check here to display the full listing of additional statements from which you may make your selection.

#### Additional Statement

- To select a statement, enter any required information specific to your mark (or, for some statements, check the box in front of the statement). If you now realize that no statement is needed, you must click on the box that produced this section of the form to "uncheck" it, and the entire "Additional Statement" section below will be removed. Note: As a general rule, include only words that are in the mark, or translations and transliterations of those words, within quotation marks in the text boxes below.

**WARNING:** Additional statements are not commonly used and are for special circumstances that only exist in certain applications. Selecting items that do not apply may delay the processing of your application. **HOWEVER**, for any field below designated with an asterisk (\*), you must make an entry to maintain TEAS Plus status, if applicable within the facts of the application. Failure to do so will result in the examining attorney requiring the payment of an additional \$50 fee per class, because the application will lose its TEAS Plus status.

**DISCLAIMER:** No claim is made to the exclusive right to use [REDACTED] apart from the mark as shown.

\* **PRIOR REGISTRATION(S):** The applicant claims ownership of U.S. Registration Number(s) [REDACTED], [REDACTED], [REDACTED].

(Required if warranted by facts of application.) **NOTE:** Entry must not include any commas, and must be 7 numerals long (if necessary, add leading 0's to number, e.g., 0086417).

and others: Check here to indicate there are additional prior U.S. Registration Number(s).

\* **TRANSLATION:** (Required if warranted by facts of application.)

The English translation of [REDACTED] in the mark is [REDACTED].

The wording [REDACTED] has no meaning in a foreign language.

\* **TRANSLITERATION:** (Required if warranted by facts of application.) (**NOTE:** Not required for any standard character marks.)

The non-Latin characters in the mark transliterate to [REDACTED] and this means [REDACTED] in English.

The non-Latin characters in the mark transliterate to [REDACTED] and this has no meaning in a foreign language.

**MEANING OR SIGNIFICANCE OF WORDING, LETTER(S), OR NUMERAL(S):**

[REDACTED] appearing in the mark means or signifies or is a term of art for [REDACTED] in the relevant trade or industry or as applied to the goods/services listed in the application.

[REDACTED] appearing in the mark has no significance nor is it a term of art in the relevant trade or industry or as applied to the goods/services listed in the application, or any geographical significance.

The word(s) [REDACTED] has no meaning in a foreign language.

**§2(f) Claim of Acquired Distinctiveness, based on Use:** The mark has become distinctive of the goods/services through the applicant's substantially exclusive and continuous use in commerce that the U.S. Congress may lawfully regulate for at least the five years immediately before the date of this statement.

**§2(f) Claim of Acquired Distinctiveness, based on Prior Registration(s):** The mark has become distinctive of the goods/services as evidenced by the ownership on the Principal Register for the same mark for related goods or services of U.S. Registration No(s). [REDACTED].

**§2(f) Claim of Acquired Distinctiveness, based on Evidence:** The mark has become distinctive of the goods/services, as demonstrated by the attached evidence.

Click here to Attach/Remove §2(f) Evidence

**§2(f) Claim of Acquired Distinctiveness, IN PART, based on Use:** [REDACTED] has become distinctive of the goods/services through the applicant's substantially exclusive and continuous use in commerce that the U.S. Congress may lawfully regulate for at least the five years immediately before the date of this statement.

**§2(f) Claim of Acquired Distinctiveness, IN PART, based on Prior Registration(s):** [REDACTED] has become distinctive of the goods/services as evidenced by the ownership on the Principal Register for the same mark for related goods or services of U.S. Registration No(s). [REDACTED].

**§2(f) Claim of Acquired Distinctiveness, IN PART, based on Evidence:** [REDACTED] has become distinctive of the goods/services, as demonstrated by the attached evidence.

Click here to Attach/Remove §2(f) Evidence

\* **NAME(S), PORTRAIT(S), SIGNATURE(S) OF INDIVIDUAL(S):** (Required if warranted by facts of application.)

The name(s), portrait(s), and/or signature(s) shown in the mark identifies [REDACTED], whose consent(s) to register is made of record.

[Click here to Attach/Remove Consent\(s\)](#)

The name(s), portrait(s), and/or signature(s) shown in the mark does not identify a particular living individual.

**USE OF THE MARK IN ANOTHER FORM:** The mark was first used anywhere in a different form other than that sought to be registered at least as early as  and in commerce at least as early as .

NOTE: If the use in another form claim does not relate to all classes in a multi-class application, specify within the miscellaneous statement section, below, the exact class(es) the claim covers.

\* **CONCURRENT USE:** Enter the appropriate concurrent use information, e.g., specify the goods and the geographic area for which registration is sought. WARNING: Enter text in the box only if you (1) intend to initiate a concurrent use registration proceeding before the Trademark Trial and Appeal Board; or (2) have a final determination by a court establishing your concurrent right to use the same or similar mark in commerce in a limited geographic area.

(Required if warranted by facts of application.)

**MISCELLANEOUS STATEMENT:** Enter information for which no other section of the form is appropriate.

[Click here to Attach/Remove Miscellaneous](#)

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OMB No. 0651-0009 (Exp. 12/31/2014)

## Trademark/Service Mark Application, Principal Register

### TEAS Plus Application (Version 5.3)

#### Goods and/or Services Information

##### Instructions:

**Step 1:** Click on the "Add Goods/Services by Searching IDManual" button below to select goods and/or services from the *Manual of Trademark Acceptable Identifications of Goods & Services* (IDManual).

**Step 2:** After creating the complete list of goods and/or services for this application, you will then be able in the next section of the form to designate the filing basis (or bases) appropriate for each listed item.

##### NOTE:

1. Your selection of goods/services must be precise and accurate. Do NOT simply select a listing that is "close" to your goods/services. If you do not find a listing that accurately identifies your goods/services, you may e-mail [TMIDSUGGEST@uspto.gov](mailto:TMIDSUGGEST@uspto.gov) to request that your identification be added to the IDManual, and then wait for the addition before filing using TEAS Plus. For more information on this process, click [here](#). If your request is not approved or you wish to file immediately, you must use the regular TEAS form.
2. The TEAS Plus version of the IDManual intentionally does not include the following: (1) items classified in Classes A, B, or 200, because those marks are not eligible for filing under TEAS Plus; (2) any listings that appear in the "regular" manual under "000," because correct classification is required under TEAS Plus, and classification for these listings varies according to the additional information provided within the listing; and (3) the Class 25 listing of "Clothing, namely, ...", because this entry is too open-ended, and could result in items being listed that do not truly fall within this class. Since specific clothing items must be listed anyway, the TEAS Plus version of the form requires the *initial* selection of those specific items.
3. Some entries include instructional language beneath the actual entry, within < > symbols. This language is only to assist in the proper selection of an entry, and will NOT be included as part of the actual identification after the checked entry is inserted into the form. [New](#)
4. If you cannot access the IDManual through the "Add Goods/Services by Searching IDManual" button, try switching to another browser. If after changing browsers you still cannot access the IDManual through the "Add Goods/Services by Searching IDManual" button, please contact [TEAS@uspto.gov](mailto:TEAS@uspto.gov).

WARNING: This form has a session time limit of 60 minutes. Your "session" began as soon as you accessed the initial Form Wizard page. If you exceed the 60-minute time limit, the form will not validate and you must begin the entire process again; you can, however, extend the time limit. You should always try to have all information required to complete the form prior to starting any session.

NOTE: For an instructional video on goods and services and the importance of making the proper selection, [click here](#).

[Add Goods/Services](#)[Remove Checked Goods/Services](#)

NOTE: Clicking "Go Back" will take you directly back to the MARK section of the form.

[Go Back](#)



**WARNING:** The ID manual has a session limit of 30 minutes. If you will be working within the IDManual for an extended period of time, it is critical that you keep your form session "alive." To do so, it is recommended that you select no more than 20 entries at a time, then click the "Insert Check Entries" button. On the next page that displays all of your selections, use the "Add Goods/Services by Searching IDManual" button to return to the Manual to continue the process; repeat this process until all of your items are displayed in the overall listing on the page for assigning a filing basis.

Search for:	<input type="text" value="clothing"/>	<input type="button" value="Go"/>
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## Instructions:

### Step 1: Enter a word or phrase to search for Goods and/or Services.

- Search requests can be for a single item (e.g., pants), or multiple items (e.g., pants shirts shoes). While also possible to search for goods and/or services in different classes at the same time (e.g., pants baseballs), separate searches are recommended. The form will compile an "overall goods/services list," regardless of the search approach.
 

**NOTE:** Most manual entries are displayed in the plural. e.g., the entry is "soups," rather than "soup." While entering the search term "soup" will retrieve "soups," it would first be preceded by other listings, e.g., "soup tureens" and "soup mixes."
- To search for an entry where the single entry consists of multiple words, enclose the complete entry within quotation marks; e.g., enter "computer programs" rather than computer programs.
- To browse the complete listing of items in one or more classes, enter the following search criteria: class:NNN or class:(NNN NNN etc.), where NNN is the 3-digit International class number; e.g., to search for all items in International Class 3, enter class:003; for all items in International Classes 25 and 42, enter class:(025 042).
- For a listing of all International class headings, including a summary of the types of items within each class, click [here](#).
- For more information about using advanced query syntax (e.g., using truncation), click [here](#).

### Step 2: Once the desired search criteria has been entered, click the "Go" button, and then all manual entries containing the requested term(s) will be displayed.

**NOTE:** Because the interfaces for TEAS and the *Trademark Acceptable Identification of Goods & Services Manual* differ, results for identical searches performed in each may vary slightly, even though both access the same data source.



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## Trademark/Service Mark Application, Principal Register

### TEAS Plus Application (Version 5.3)

#### Basis for Filing

**NOTE:** For an instructional video on what is meant by "basis for filing," [click here](#).

Applicant requests registration of the trademark/service mark identified previously with the United States Patent and Trademark Office on the Principal Register established by the Act of July 5, 1946 (15 U.S.C. §1051 *et seq.*) for the Class(es) and Goods and/or Services displayed below, and asserts herein the specific basis(es) that covers the listed Goods and/or Services.

#### Instructions for assigning filing basis(es):

For each of the items listed in the chart below, you can assign a specific filing basis, or if appropriate, multiple bases. If the list is incorrect, you can either add or delete items, using the appropriate buttons, *below*. For an explanation of the possible filing basis(es), as identified by the 4 buttons beneath the listing of goods/services, [click here](#).

For complete step-by-step instructions on how correctly to assign the filing basis(es), click on the heading that corresponds to the factual scenario for this specific filing, *below*. For examples of filings corresponding to each of the heading descriptions, click on the link "*Examples*."

- [One class or multiple classes, with ONE filing basis for ALL listed items \*Examples\*](#)

NOTE: This is the most common correct choice for any filing. The following are other options, but are much less likely to be appropriate:

- [One class or multiple classes, with same multiple filing bases for ALL listed items in class\(es\) \*Examples\*](#)
- [One class or multiple classes, with different filing basis\(es\) for different goods/services within the same class, and/or for different overall classes \*Examples\*](#)

**NOTE:** For an instructional video on goods and services and the importance of making the proper selection, [click here](#).

[Add Goods/Services](#)

[Remove Checked Goods/Services](#)

For instructions on how to add item(s) to the list displayed below, click [here](#).

For instructions on how to remove any item(s), click [here](#).

<input checked="" type="checkbox"/> <a href="#">Select All</a>	<u>International Class</u>	Goods and/or Services	Assigned Filing Basis(es)
<input checked="" type="checkbox"/>	009	Protective padded clothing for protection against bodily harm and blunt force trauma	

**NOTE:** The 4 BUTTONS below identify the choices of filing basis to be assigned to the items listed in the table, *above*. For an explanation of each basis, [click here](#). Because assignment of the correct basis to each item is critical, please read the explanations if you have *any* questions as to which basis(es) to select, before clicking the button(s),

**below, to begin the assignment of the basis(es).**

**WARNING: Registration Subject to Cancellation for Fraudulent Statements**

You must ensure that statements made in filings to the USPTO are accurate, as inaccuracies may result in the cancellation of a trademark registration. The lack of a bona fide intention to use the mark with all goods and/or services included in an application, or the lack of use on all goods and/or services for which you claim use, could jeopardize the validity of the registration and result in its cancellation.

Section 1(a)

Section 1(b)

Section 44(d)

Section 44(e)

Actually using mark in commerce now      No use of mark yet, intending to use      Foreign application exists for same goods/services      Foreign registration exists for same goods/services

**NOTE:** Clicking "Go Back" will take you directly back to the MARK section of the form. Clicking "Continue" prior to assigning a filing basis will result in an error.

Go Back

Continue

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Rep./Correspondence &gt; Fee/Signature

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PTO Form 1478 (Rev 09/2006)

OMB No. 0651-0009 (Exp. 12/31/2014)

## Trademark/Service Mark Application, Principal Register

### TEAS Plus Application (Version 5.3)

#### Basis for Filing

**NOTE:** For an instructional video on what is meant by "basis for filing," [click here](#).

Applicant requests registration of the trademark/service mark identified previously with the United States Patent and Trademark Office on the Principal Register established by the Act of July 5, 1946 (15 U.S.C. §1051 *et seq.*) for the Class(es) and Goods and/or Services displayed below, and asserts herein the specific basis(es) that covers the listed Goods and/or Services.

#### Instructions for assigning filing basis(es):

For each of the items listed in the chart below, you can assign a specific filing basis, or if appropriate, multiple bases. If the list is incorrect, you can either add or delete items, using the appropriate buttons, *below*. For an explanation of the possible filing basis(es), as identified by the 4 buttons beneath the listing of goods/services, [click here](#).

For complete step-by-step instructions on how correctly to assign the filing basis(es), click on the heading that corresponds to the factual scenario for this specific filing, *below*. For examples of filings corresponding to each of the heading descriptions, click on the link "*Examples*."

- [One class or multiple classes, with ONE filing basis for ALL listed items](#) [Examples](#)

NOTE: This is the most common correct choice for any filing. The following are other options, but are much less likely to be appropriate:

- [One class or multiple classes, with same multiple filing bases for ALL listed items in class\(es\)](#) [Examples](#)

- [One class or multiple classes, with different filing basis\(es\) for different goods/services within the same class, and/or for different overall classes](#) [Examples](#)

**NOTE:** For an instructional video on goods and services and the importance of making the proper selection, [click here](#).

[Add Goods/Services](#)[Remove Checked Goods/Services](#)

For instructions on how to add item(s) to the list displayed below, [click here](#).

For instructions on how to remove any item(s), [click here](#).

<input checked="" type="checkbox"/> <a href="#">Select All</a>	<a href="#">International Class</a>	<a href="#">Goods and/or Services</a>	<a href="#">Assigned Filing Basis(es)</a>
<input checked="" type="checkbox"/>	009	Protective padded clothing for protection against bodily harm and blunt force trauma	

**Section 1(a), Use in Commerce:** The applicant is using the mark in commerce, or the applicant's related company or licensee is using the mark in commerce, or the applicant's predecessor in interest used the mark in commerce, on or in

connection with the identified goods and/or services. 15 U.S.C. §1051(a), as amended. Applicant attaches, or will later submit, one specimen as a JPG/PDF image file showing the mark as used in commerce on or in connection with any item in the class of listed goods and/or services, regardless of whether the mark itself is in the standard character format or is a stylized or design mark. The specimen image file may be in color, and the image must be in color if color is being claimed as a feature of the mark.

**NOTE: For an instructional video on what is an appropriate specimen for a good or service, [click here.](#)**  
**NOTE: For attachment, the JPG/PDF image file(s) or sound/motion file(s) showing the specimen(s) must be on your local drive. The specimen file must show the overall context of how the mark is used, e.g., on the packaging for the goods or in an advertisement for services, with the mark clearly displayed thereon or within. This file should NOT be either (1) the same file used in the mark section; or (2) a newly-created file that nonetheless shows only the mark by itself. (Reminder: Within the earlier mark section, if you attached an image file for a stylized/design mark or a sound/motion file, you must ensure that it only shows the mark by itself, and does not display anything that would not truly be considered part of the actual mark, e.g., a scan of a complete business card would not be an acceptable mark image, although it may be an acceptable specimen).**

[Remove this 1\(s\)](#)

<p><b>* <u>Attach Specimen</u></b></p>	<p><a href="#">Attach/Remove Specimen</a></p> <p><input type="checkbox"/> Check this box if you are attaching a color specimen. <b>NOTE: Check only if you believe your specimen is in color, yet you received after clicking the "CONTINUE" button a WARNING about lack of color within the specimen; otherwise, do not check this box, because the attached image was automatically accepted as color.</b></p>
<p><b><u>Description of Specimen</u></b></p>	<div style="border: 1px solid black; height: 80px; width: 100%;"></div>
<p><b>* <u>Date of First Use of Mark Anywhere</u></b></p>	<p>By the applicant, or the applicant's related company, licensee, or predecessor in interest at least as early as</p> <div style="border: 1px solid black; width: 150px; height: 20px; display: inline-block; vertical-align: middle;"></div> <span style="margin-left: 10px;">(MM/DD/YYYY)</span>
<p><b>* <u>Date of First Use of the Mark in Commerce</u></b></p>	<p>By the applicant, or the applicant's related company, licensee, or predecessor in interest at least as early as</p> <div style="border: 1px solid black; width: 150px; height: 20px; display: inline-block; vertical-align: middle;"></div> <span style="margin-left: 10px;">(MM/DD/YYYY)</span>
<div style="display: flex; justify-content: space-around;"> <div style="text-align: center;"> <p><a href="#">Section 44(d)</a></p> <p>Foreign application exists for same goods/services</p> </div> <div style="text-align: center;"> <p><a href="#">Section 44(e)</a></p> <p>Foreign registration exists for same goods/services</p> </div> </div>	

**NOTE:** To assign the selected filing basis, click on "Assign Filing Basis" button, *below*. If you selected the wrong basis, click on the "Remove this [basis]" button, *above*, and start over. To assign multiple bases, click on another basis button and complete the section (and repeat process again, if appropriate) before clicking on the "Assign Filing Basis" button.

[Assign Filing Basis](#)

[Exit](#)

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## Trademark/Service Mark Application, Principal Register

Click on the "Browse/Choose File" button to select a file you wish to upload:

**Status:**

File Uploaded:

**NOTE:** You must "Browse/Choose File" AND "Attach" each file, as described in Steps 1 and 2 below. If you do NOT click on the "Attach" button after selecting the correct file via the "Browse/Choose File" button, the file will not be attached to the form.

**WARNING:** The file **MUST** be in the JPG/PDF format (not exceeding 5 megabytes per attachment) or .WAV, .WMV, .WMA, .MP3, .MPG, or .AVI format (not exceeding 5 megabytes for sound files or 30 megabytes for motion files).

To attach a file, please complete the following steps:

1. Click on "Browse/Choose File" button to select the file from your local drive.
2. Click on "Attach" button to attach the selected file.
3. To attach additional file(s), return to step 1.
4. To remove unwanted file(s), simply clicking "Remove" button/link right below the file(s).
5. Click on "Return to Application" and return back to the form, but ONLY once you see the file(s) loaded above.

**WARNING:** A submission must be complete within the "4 corners" of the actual transmitted document. Information only accessible through a link, but not part of the submission itself, will NOT be considered to be made of record. E.g., if you wish a catalogue to be considered as evidence, you must present the actual pages of the catalogue, and not merely reference that the catalogue is available for viewing at a particular url. The url will NOT be independently accessed as part of the examination process, and any materials presented only "by reference" will not constitute part of the actual file.



**Navigation History:** [Instruction](#) > [Applicant](#) > [Mark](#) > [Goods/Services/Filing Basis](#) > Attorney/Dom. Rep./Correspondence > Fee/Signature

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PTO Form 1478 (Rev 09/2006)

OMB No. 0651-0009 (Exp. 12/31/2014)

## Trademark/Service Mark Application, Principal Register

### TEAS Plus Application (Version 5.3)

#### Basis for Filing

**NOTE:** For an instructional video on what is meant by "basis for filing," [click here](#).

Applicant requests registration of the trademark/service mark identified previously with the United States Patent and Trademark Office on the Principal Register established by the Act of July 5, 1946 (15 U.S.C. §1051 *et seq.*) for the Class(es) and Goods and/or Services displayed below, and asserts herein the specific basis(es) that covers the listed Goods and/or Services.

#### Instructions for assigning filing basis(es):

For each of the items listed in the chart below, you can assign a specific filing basis, or if appropriate, multiple bases. If the list is incorrect, you can either add or delete items, using the appropriate buttons, *below*. For an explanation of the possible filing basis(es), as identified by the 4 buttons beneath the listing of goods/services, [click here](#).

For complete step-by-step instructions on how correctly to assign the filing basis(es), click on the heading that corresponds to the factual scenario for this specific filing, *below*. For examples of filings corresponding to each of the heading descriptions, click on the link "*Examples*."

- [One class or multiple classes, with ONE filing basis for ALL listed items \*Examples\*](#)

NOTE: This is the most common correct choice for any filing. The following are other options, but are much less likely to be appropriate:

- [One class or multiple classes, with same multiple filing bases for ALL listed items in class\(es\) \*Examples\*](#)

- [One class or multiple classes, with different filing basis\(es\) for different goods/services within the same class, and/or for different overall classes \*Examples\*](#)

**NOTE:** For an instructional video on goods and services and the importance of making the proper selection, [click here](#).

[Add Goods/Services](#)

[Remove Checked Goods/Services](#)

For instructions on how to add item(s) to the list displayed below, click [here](#).

For instructions on how to remove any item(s), click [here](#).

<input type="checkbox"/> <a href="#">Select All</a>	<a href="#">International Class</a>	<a href="#">Goods and/or Services</a>	<a href="#">Assigned Filing Basis(es)</a>
<input type="checkbox"/>	009	Protective padded clothing for protection against bodily harm and blunt force trauma	<a href="#">1(a)</a>

**NOTE:** The 4 BUTTONS below identify the choices of filing basis to be assigned to the items listed in the table, *above*. For an explanation of each basis, [click here](#). Because assignment of the correct basis to each item is critical, please read the explanations if you have *any* questions as to which basis(es) to select, before clicking the button(s),

**below, to begin the assignment of the basis(es).**

**WARNING: Registration Subject to Cancellation for Fraudulent Statements**

You must ensure that statements made in filings to the USPTO are accurate, as inaccuracies may result in the cancellation of a trademark registration. The lack of a bona fide intention to use the mark with all goods and/or services included in an application, or the lack of use on all goods and/or services for which you claim use, could jeopardize the validity of the registration and result in its cancellation.

Section 1(a)

Section 1(b)

Section 44(d)

Section 44(e)

Actually using mark in commerce now      No use of mark yet, intending to use      Foreign application exists for same goods/services      Foreign registration exists for same goods/services

**NOTE:** Clicking "Go Back" will take you directly back to the MARK section of the form. Clicking "Continue" prior to assigning a filing basis will result in an error.

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OMB No. 0651-0009 (Exp. 12/31/2014)

## Trademark/Service Mark Application, Principal Register

### TEAS Plus Application (Version 5.3)

- Check here if an attorney is filing this form on behalf of applicant(s). If an attorney is not filing, simply click on the box if currently checked to "uncheck" that box.
- Check here if the applicant wishes to appoint a Domestic Representative. A Domestic Representative is OPTIONAL if the applicant's address is outside the United States. Once checked, a separate section of the form will appear to enter the Domestic Representative information.

Attorney Information	
<b>* Correspondent Attorney Name</b>	<input type="text"/>
<b>Individual Attorney Docket/Reference Number</b>	<input type="text"/>
<b>Other Appointed Attorney(s)</b>	<input type="text"/>
<b>Firm Name</b>	<input type="text"/>
<b>Internal Address</b>	<input type="text"/>
<b>* Street Address</b>	<input type="text"/> <p><b>NOTE:</b> You must limit your entry here, and for all remaining fields within this overall section (except City, see below), to no more than 40 characters (the storage limit for the USPTO database). You may need to abbreviate some words, e.g., St. instead of Street. Failure to do so may result in an undeliverable address, due to truncation at the 40 character limit.</p>
<b>* City</b>	<input type="text"/> <p><b>NOTE:</b> You must limit your entry here to no more than 22 characters.</p>
<b>* State (Required for U.S. applicants)</b>	<input type="text" value="Select State"/> <p><b>NOTE:</b> You must include as part of the "city" entry any information related to geographical regions (e.g., provinces) not found in the dropdown lists for "States" or "Countries." Enter the city and then the geographical region, separated by a comma (e.g., Toronto, Ontario). In most instances, you will then also have to select the country within which the region is found, below.</p>
<b>* Country or U.S. Territory</b>	<input type="text" value="Select Country or U.S. Territory"/>
<b>* Zip/Postal Code (Required for U.S. applicants only)</b>	<input type="text"/>
<b>Phone Number</b>	<input type="text"/>

<u>Fax Number</u>	<input type="text"/>
	<input type="text"/>
<p>An e-mail address for communication with the appointed attorney must be provided. The attorney must keep this address current in the Office's records. Specific authorization for this communication may be made, <i>below</i>.</p>	
<u>* Internet E-mail Address</u>	<p><input type="checkbox"/> Check here to <u>authorize</u> the USPTO to communicate with the appointed attorney via e-mail. (Informal communication is permissible without authorization.)</p> <p><b>NOTE:</b> By checking this box, the appointed attorney acknowledges that it is solely responsible for receipt of USPTO documents sent via e-mail. The appointed attorney should periodically check the status of its application through the <u>Trademark Status &amp; Document Retrieval (TSDR)</u> database, to see if the assigned examining attorney has e-mailed an Office Action. If an action has been sent to the provided e-mail address, the USPTO is not responsible for any e-mail not received due to the applicant's security or anti-spam software, or any problems within the applicant's e-mail system. All sent actions can be viewed on-line, from <u>Trademark Status &amp; Document Retrieval</u>.</p>

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PTO Form 1478 (Rev 09/2006)

OMB No. 0651-0009 (Exp. 12/31/2014)

## Trademark/Service Mark Application, Principal Register

### TEAS Plus Application (Version 5.3)

#### Correspondence Information

Note: Where an attorney or domestic representative has been appointed, the USPTO will correspond ONLY with the listed appointment. Do NOT attempt to change the correspondence address to the owner's address.

<b>* <u>Name</u></b>	<input type="text"/>
<b><u>Firm Name</u></b>	<input type="text"/>
<b><u>Internal Address</u></b>	<input type="text"/>
<b>* <u>Street Address</u></b>	<input type="text"/> <small>NOTE: You must limit your entry here, and for all remaining fields within this overall section (except City, see below), to no more than 40 characters (the storage limit for the USPTO database). You may need to abbreviate some words, e.g., St. instead of Street. Failure to do so may result in an undeliverable address, due to truncation at the 40 character limit.</small>
<b>* <u>City</u></b>	<input type="text"/> <small>NOTE: You must limit your entry here to no more than 22 characters.</small>
<b>* <u>State</u> (Required for U.S. applicants)</b>	<input type="text"/> <small>NOTE: You must include as part of the "city" entry any information related to geographical regions (e.g., provinces) not found in the dropdown lists for "States" or "Countries." Enter the city and then the geographical region, separated by a comma (e.g., Toronto, Ontario). In most instances, you will then also have to select the country within which the region is found, below.</small>
<b>* <u>Country or U.S. Territory</u></b>	<input type="text"/>
<b>* <u>Zip/Postal Code</u> (Required for U.S. applicants only)</b>	<input type="text"/>
<b><u>Phone Number</u></b>	<input type="text"/>
<b><u>Fax Number</u></b>	<input type="text"/>
	Primary Email Address <input type="text"/> Secondary Email Address(es) <input type="text"/>  Enter up to 4 addresses, separated by either a semicolon or a comma.

**\* Internet E-mail Address**

Only one e-mail address may be used for correspondence, in accordance with [Office policy](#). The applicant must keep this address current in the Office's records.

I [authorize](#) the USPTO to send e-mail correspondence concerning the application to the applicant or applicant's attorney at the e-mail address provided below. I understand that a valid e-mail address must be maintained and that the applicant or the applicant's attorney must file the relevant subsequent application-related submissions via the Trademark Electronic Application System (TEAS). Failure to do so will result in an additional processing fee of \$50 per international class of goods and/or services.

**NOTE:** By checking this box, the appointed attorney acknowledges that it is solely responsible for receipt of USPTO documents sent via e-mail. The appointed attorney should periodically check the status of its application through the [Trademark Status & Document Retrieval \(TSDR\)](#) database, to see if the assigned examining attorney has e-mailed an Office Action. If an action has been sent to the provided e-mail address, the USPTO is not responsible for any e-mail not received due to the applicant's security or anti-spam software, or any problems within the applicant's e-mail system. All sent actions can be viewed on-line, from [Trademark Status & Document Retrieval](#).

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PTO Form 1478 (Rev 09/2006)  
OMB No. 0651-0009 (Exp. 12/31/2014)

## Trademark/Service Mark Application, Principal Register

### TEAS Plus Application (Version 5.3)

#### Fee Information

Note: Three payment options ([credit card](#), [automated deposit account](#), and [Electronic Funds Transfer](#)) will appear after clicking on the **Pay/Submit** button, which is available on the bottom of the *Validation Page* after completing and validating this form.

<b>Number of Classes</b>	1 (Class: 009)
Fee per class	\$225
<b>Total Fee Due</b>	\$225

#### Signature Information

Click to choose ONE [signature method](#):

[Sign directly](#)  [E-mail Text Form to second party for signature](#)  [Handwritten pen-and-ink signature](#)

#### Electronic Signature

The application will not be "signed" in the sense of a traditional paper document. To verify the contents of the application, the signatory must enter any alpha/numeric character(s) or combination thereof of his or her choosing, preceded and followed by the forward slash (/) symbol. The USPTO does **not** determine or pre-approve what the entry should be, but simply presumes that this specific entry has been adopted to serve the function of the signature. Most signatories simply enter their names between the two forward slashes, although acceptable "signatures" could include /john doe/; /jd/; or /123-4567/.

#### DECLARATION

The signatory believes that: if the applicant is filing the application under 15 U.S.C. Section 1051(a), the applicant is the owner of the trademark/service mark sought to be registered; the applicant or the applicant's related company or licensee is using the mark in commerce on or in connection with the goods/services in the application, and such use by the applicant's related company or licensee inures to the benefit of the applicant; the specimen(s) shows the mark as used on or in connection with the goods/services in the application; and/or if the applicant filed an application under 15 U.S.C. Section 1051(b), Section 1126(d), and/or Section 1126(e), the applicant is entitled to use the mark in commerce; the applicant has a bona fide intention to use or use through the applicant's related company or licensee the mark in commerce on or in connection with the goods/services in the application. The signatory believes that to the best of the signatory's knowledge and belief, no other person has the right to use the mark in commerce, either in the identical form or in such near resemblance as to be likely, when used on or in connection with the goods/services of such other person, to cause confusion or mistake, or to deceive. The signatory being warned that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. Section 1001, and that such willful false statements and the like may jeopardize the validity of the application or any registration resulting therefrom, declares that all statements made of his/her own knowledge are true and all statements made on information and belief are believed to be true.

\* [Signature](#)

\* [Date Signed](#)

(MM/DD/YYYY)

<b><u>* Signatory's Name</u></b>	<input type="text"/>
<b><u>* Signatory's Position</u></b>	<input type="text"/> NOTE: Enter the appropriate title or the relationship to the applicant - if an individual, enter "Owner;" if an attorney, enter "Attorney of record, [specify at least one state] bar member;" if an authorized signatory of a business entity enter, e.g., "President," "Vice President," "General Partner" (if a partnership), or "Principal" (if a limited liability company).
<b><u>Signatory's Phone Number</u></b>	<input type="text"/>

**NOTE:** If there are multiple signatories, click on the "Add Signatory" button below, and repeat signature process. Otherwise, Click on the [Validate](#) button, or if necessary, the Go Back button.

[Go Back](#) [Add Signatory](#) [Validate](#)

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## Trademark/Service Mark Application, Principal Register

### TEAS Plus Application (Version 5.3) - Validation Page

**On** You completed all mandatory fields and successfully validated the form. It has NOT been filed to the USPTO at this point. Please complete all steps below to submit the application.

■ **STEP 1:** Review the application data in various formats, by clicking on the phrases under Application Data. Use the print function within your browser to print these pages for your own records. If the Mark and Specimens appear huge, click [here](#).

**Note:** It is important that you review this information for accuracy and completeness now. Corrections after submission may not be permissible, thereby possibly affecting your legal rights.

**Note:** If you are using the e-signature approach or the handwritten pen-and-ink signature approach, you must click on the final link to access the specific "text form" for that purpose.

#### Application Data

<a href="#">Input</a>	<a href="#">Mark</a>	<a href="#">Specimen</a>	<a href="#">XML File</a>	<a href="#">Text Form</a>
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■ **STEP 2:** If there are no errors and you are ready to file this application electronically, confirm the e-mail address for acknowledgment. Once you submit the form electronically, we will send an electronic acknowledgment of receipt to the e-mail address entered below. If no e-mail address appears, you must enter one. If we should send the acknowledgment to a different e-mail address, or to an additional address(es), please enter the proper address or additional address(es). For multiple addresses/receipts, please separate e-mail addresses by either a semicolon or a comma.

**NOTE:** This e-mail address is only for the purpose of receiving the acknowledgment that the transmission reached the USPTO, and is not related to the e-mail that will be used for correspondence purposes (although it could be the same address. The official e-mail address that the USPTO will use for any future communication is whatever appears in the specific correspondence section of the form.)

\* E-mail for acknowledgment

To ensure we can deliver your e-mail confirmation successfully, please re-enter your e-mail address(es) here:

\* E-mail for acknowledgment

■ **STEP 3:** To download and save the form data, click on the [Download Portable Data](#) button at the bottom of this page. The information will be saved to your local drive. To begin the submission process with saved data, you must open a new form, and click on the "Browse/Choose File" button displayed on the initial form wizard page, at "[OPTIONAL] To access previously-saved data, use the "Browse/Choose File" button below to access the file from your local drive." REMINDER: Do NOT try to open the saved .obj/.xml form directly. You must return to

the very first page of the form, as if starting a brand new form, and then use the specific "Browse/Choose File" button on that page to import the saved file. Clicking on the "Continue" button at the bottom of that first page will then properly open the saved version of your form.

■ **STEP 4:** Read and check the following:

**Important Notice:**

(1) Once you submit this application, we will not cancel the filing or refund your fee. The fee is a processing fee, which we do not refund even if we cannot issue a registration after our substantive review. This is true regardless of how soon after submission you might attempt to request cancellation of the filing. Therefore, please review **ALL** information carefully prior to transmission.

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